



Disclosure Statement for Hobart and William Smith Colleges PHS Researchers

Required Disclosure: Each Investigator who is planning to participate in funded research is required to submit to Office of Sponsored Programs a disclosure of Significant Financial Interests associated with the proposed research (and those of spouse and dependent children.) Investigators who are currently funded are required to provide annual disclosure updates, and also to disclose any new reportable Significant Financial Interests as they occur.

I have read and understand the [PHS Financial Conflict of Interest Policy for Hobart and William Smith Colleges Researchers](#).

Certification

I am disclosing the following significant financial interests (check one or more), as defined in the Colleges' policy as anything of monetary value, including but not limited to:

Salary or other payment for service exceeding five thousand dollars (\$5,000) over the next twelve months (e.g., consulting fees or honoraria; does not include remuneration from Hobart and William Smith Colleges);

Equity interests exceeding five thousand dollars (\$5,000) and representing more than five percent ownership interest in any single entity (e.g., stocks, stock options, or other ownership interests);

Intellectual property rights expected to exceed five thousand dollars (\$5,000) over the next twelve months (e.g., patents, copyrights, and royalties from such rights);

Other interests that would reasonably appear to be affected by the research or educational activities funded or proposed for funding, or interests in entities that would reasonably appear to be affected by the research or educational activities.

If any of the above significant financial interests are present, attach supporting documents that identify the business entity or enterprise involved and the nature and amount of the interest and return with this form (please mark the envelope "confidential") to the Office of Sponsored Programs.

OR

I have no significant financial interests to disclose.

I further agree:

To update this disclosure during the period of the award, on an annual basis and within 30 days of discovering or acquiring a new reportable significant financial interests. I also agree to cooperate in the development of a plan to resolve any conflicts of interest, and to comply with any conditions or restrictions imposed by the college to manage, reduce, or eliminate actual or potential conflicts of interest or to forfeit the award.

Signature * _____ **Date** _____

Name (print) _____

Department/Program _____

Project Title _____

Proposal Submission Deadline _____

Sponsor _____

* Please sign in ink, date, and return original copy of this form to the Office of Sponsored Programs.