

On 5/18/2021 Hobart and William Smith Colleges (the Colleges) received HEERF III Student Aid from the U.S. Department of Education under Section 2003 of the American Rescue Plan Act of 2021 (ARP) as governed by Section 2003 of ARP and Section 314 of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA). Below these combined award stipulations are referred to as the ARP (a)(1) program. The award number is P425E203078 – 20B. As required per the terms of that award the information below reflects activity from 10/1/2021 through 12/31/2021.

**1. An acknowledgement that the institution signed and returned to the Department the Certification and Agreement and the assurance that the institution has used, or intends to use, the amount of funds designated under the ARP (a)(1) program to provide Emergency Financial Aid Grants to Students.**

The Supplemental Grant Funds for Students Agreement under award number P425E203078 – 20B is not required to be signed. Draw down of funds within 90 days of the date of the supplemental award constitutes acceptance of the award. The Colleges intend to provide the amount of funding in financial aid grants to students as required under the ARP (a)(1) program.

**2. The total amount of funds that the institution will receive or has received from the Department pursuant to the institution's Supplemental Grant Funds for Students Agreement.**

The Colleges have been awarded and will receive \$2,038,610 from the U.S. Department of Education pursuant to the Supplemental Grant Funds for Students Agreement. As of 12/31/21 the Colleges have drawn down **\$1,658,920** of the award. **\$0** was drawn down during the period 10/1/21 – 12/31/21.

**3. The total amount of Emergency Financial Aid Grants distributed to students under the ARP (a)(1) program as of the date of submission.**

As of 12/31/21, **\$1,794,920** of Emergency Financial Aid Grants have been distributed to students under the ARP (a)(1) program. **\$0** was distributed to students during the period 10/1/21 -12/31/21. During the same period, it was discovered that one amount distributed to a student was counted twice. To correct this the total distribution amount was reduced by \$3,500.

**4. The estimated total number of students at the institution eligible to receive Emergency Financial Aid Grants to Students under the ARP (a)(1) program.**

The estimated total number of students at the Colleges eligible to receive Emergency Financial Aid Grants to Students under the ARP (a)(1) program is 1,500.

**5. The total number of students who have received an Emergency Financial Aid Grant to Students under the ARP (a)(1) program.**

As of 12/31/21, **694** students have received Emergency Financial Aid Grants under the ARP (a)(1) program. **0** students received Emergency Aid Grants during the period 10/1/21 – 12/31/21. During the same period, it was discovered that **1** student was counted twice and **2** students were incorrectly excluded. To correct this the total number of students was increased by **1**.

**6. The method(s) used by the institution to determine which students receive Emergency Financial Aid Grants and how much they would receive under the ARP (a)(1) program.**

All enrolled students who submitted a request form were eligible to receive Emergency Financial Aid Grants under the ARP (a)(1) program. We sent a message to all enrolled students with instructions to submit a grant request and a link to the application on 7/26/21. Pell eligible and other students who demonstrated significant financial need were given higher amounts of grant funding than those students with low or no financial need.

**7. Any instructions, directions, or guidance provided by the institution to students concerning the Emergency Financial Aid Grants.**

On 7/26/21 all enrolled students were invited via email to submit a request form for the ARP funds and given a deadline to reply.

The communication included the following:

- A statement that the ARP Grants will provide emergency financial aid grants to college students to help reduce the financial impact of the COVID-19 pandemic on their education;
- A statement that grants will be prioritized to students with high financial need, for example those who receive Pell Grants, and that US Citizens will receive priority over international students;
- Instructions for applying for the grants using an online application, with an application deadline set at 8/2/2021;
- A statement that expenses considered eligible for the grants include but are not limited to: tuition, fees, books, room and board, travel, technology needed for academic use, childcare costs, and medical costs;
- A statement that students have the option to have the emergency funds applied directly to their student account or receive the funds through a payment application; and
- Contact information for students with questions.



Carolee E. White, Vice President for Finance and Administration  
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