

Disclosure Statement for Hobart and William Smith Colleges Researchers

Required Disclosure: Each Investigator who is planning to participate in funded research is required to submit to the Grants Office a listing of his or her known Significant Financial Interests associated with the proposed research (and those of his or her spouse and dependent children).

Certification: I hereby certify that I have read and understand the *Conflict of Interest Policy for Hobart and William Smith Colleges Researchers*. I am disclosing the following significant financial interests (check one or more) and attach supporting documents (in an envelope marked "confidential") that identify the business entity or enterprise involved and the nature and amount of the interest.

Significant financial interests are defined in the colleges' policy as anything of monetary value, including but not limited to:

- Salary or other payment for service exceeding ten thousand dollars (\$10,000) over the next twelve months (e.g., consulting fees or honoraria; does not include remuneration from Hobart and William Smith Colleges);
- Equity interests exceeding ten thousand dollars (\$10,000) and representing more than five percent ownership interest in any single entity (e.g., stocks, stock options, or other ownership interests);
- Intellectual property rights expected to exceed ten thousand dollars (\$10,000) over the next twelve months (e.g., patents, copyrights, and royalties from such rights);
- Other interests that would reasonably appear to be affected by the research or educational activities funded or proposed for funding, or interests in entities that would reasonably appear to be affected by the research or educational activities.

If there are no significant financial interests to disclose, please check here:

I further agree:

To update this disclosure during the period of the award, either on an annual basis each September or as new reportable significant financial interests are obtained. I also agree to cooperate in the development of a plan to resolve any conflicts of interest, and to comply with any conditions or restrictions imposed by the college to manage, reduce, or eliminate actual or potential conflicts of interest or to forfeit the award.

Signature _____ **Date** _____

Name (print) _____

Department/Program _____

Proposal Title _____

Proposal Submission Deadline _____

Sponsor _____